

# Ecological Impacts of Atmospheric Pollution and Interactions with Climate Change in Terrestrial Ecosystems of the Mediterranean Basin: Current Research and Future Directions

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- 21 Capsule: A coordinated monitoring of air pollution and an assessment network of its effects are  
22 needed to improve environmental policy and management decisions in the Mediterranean Basin

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56

## 57 **Abstract**

58 Mediterranean Basin ecosystems, their unique biodiversity, and the key services they provide are  
59 currently at risk due to air pollution and climate change, yet only a limited number of isolated and  
60 geographically-restricted studies have addressed this topic, often with contrasting results.  
61 Particularities of air pollution in this region include high O<sub>3</sub> levels due to high air temperatures and  
62 solar radiation, the stability of air masses, and dominance of dry over wet nitrogen deposition.  
63 Moreover, the unique abiotic and biotic factors (e.g., climate, vegetation type, relevance of Saharan  
64 dust inputs) modulating the response of Mediterranean ecosystems at various spatiotemporal scales  
65 make it difficult to understand, and thus predict, the consequences of human activities that cause  
66 air pollution in the Mediterranean Basin. Therefore, there is an urgent need to implement  
67 coordinated research and experimental platforms along with wider environmental monitoring  
68 networks in the region. In particular, a robust deposition monitoring network in conjunction with  
69 modelling estimates is crucial, possibly including a set of common biomonitors (ideally  
70 cryptogams, an important component of the Mediterranean vegetation), to help refine pollutant  
71 deposition maps. Additionally, increased attention must be paid to functional diversity measures in  
72 future air pollution and climate change studies to establish the necessary link between biodiversity  
73 and the provision of ecosystem services in Mediterranean ecosystems. Through a coordinated  
74 effort, the Mediterranean scientific community can fill the above-mentioned gaps and reach a  
75 greater understanding of the mechanisms underlying the combined effects of air pollution and  
76 climate change in the Mediterranean Basin.

## 77 **Introduction**

78 Human activities and natural processes have shaped each other over ca. eight millennia within  
79 Mediterranean Basin ecosystems (Blondel, 2006). This coevolution, together with the  
80 heterogeneous orography and geology, the large seasonal and inter-annual climatic variability, the  
81 refuge effect during the last glaciations, and the crossroad location between European temperate  
82 ecosystems and North African and Asian drylands, has resulted in the high diversification of the  
83 flora and fauna that we observe today, making Mediterranean ecosystems a hotspot of biodiversity,  
84 but also of vulnerability (Schröter *et al.* 2005; Blondel 2006; Phoenix *et al.* 2006). Moreover, the  
85 Mediterranean Basin is one of the world's largest biodiversity hotspots and the only one within  
86 Europe, otherwise dominated by temperate natural and semi-natural grasslands, temperate  
87 deciduous forests and boreal conifer forests (Myers *et al.*, 2000). Species-rich ecosystems exclusive  
88 to the Mediterranean Basin include Spanish *matorrales* and *garrigas*, Portuguese *matos*, Italian  
89 *macchias*, Greek *phryganas*, and agrosilvopastoral ecosystems of high natural and economic value  
90 such as Spanish *dehesas* and Portuguese *montados* (Cowling *et al.*, 1996; Blondel, 2006). However,  
91 the biodiversity and other ecosystem services of this region are currently at risk due to human  
92 pressures such as climate change, land degradation and air pollution (Schröter *et al.*, 2005;  
93 Scarascia-Mugnozza & Matteucci, 2012). Air pollution in the Mediterranean Basin is primarily in  
94 the form of particulate matter, nitrogen (N) deposition and tropospheric ozone (O<sub>3</sub>) (Paoletti, 2006;  
95 Ferretti *et al.*, 2014; García-Gómez *et al.*, 2014). Production of pollutants is mainly associated with  
96 industrial activities, construction, vehicle emissions and agricultural practices and, within the  
97 European context, is characteristically exacerbated by more frequent droughts and the typical  
98 stability of air masses in the region, with important consequences for ecosystem and human health  
99 (Millán *et al.*, 2002; Vestreng *et al.*, 2008; Izquieta-Rojano *et al.*, 2016a). This also has important

social consequences for the Mediterranean region, where approximately 480 million people live, and where more frequent droughts, extreme climatic events and wildfires will only reinforce the current migrant and humanitarian crisis (Werz & Hoffman, 2016).

Environmental pollution interacts synergistically with climate change (Alonso *et al.*, 2001, 2014; Bytnerowicz *et al.*, 2007; Sardans & Peñuelas, 2013). This is particularly true for seasonally dry regions like the Mediterranean Basin (Baron *et al.*, 2014), but the effects of this interaction on the structure and function of Mediterranean ecosystems are not adequately quantified and, therefore, the consequences are poorly understood (Bobbink *et al.*, 2010; Ochoa-Hueso *et al.*, 2011). Projections for 2100 suggest that mean air temperatures in the Mediterranean Basin region will rise from 2.2°C to 5.1°C above 1990 levels and that precipitation will decrease between –4 and –27% (Christensen *et al.*, 2007 and Figure 1). The sea level is also projected to rise, and a greater frequency and intensity of extreme weather events (e.g., drought, heat waves and floods) are expected (EEA, 2005). These changes will exacerbate the already acute water shortage problem in the region, particularly in drylands (Terray & Boé, 2013; Sicard & Dalstein-Richier, 2015), impairing their functionality and ability to deliver the ecosystem services on which society and economy depend (Bakkenes *et al.*, 2002; Lloret *et al.*, 2004). Functions that will be synergistically impaired by air pollution and climate change include reductions in crop yield and carbon sequestration (Maracchi *et al.*, 2005; Mills & Harmens, 2011; Shindell *et al.*, 2012; Ferretti *et al.*, 2014). In addition, a higher fire risk is attributed to higher temperatures and more frequent droughts coupled with an N-driven increase of grass-derived highly-flammable fine fuel (Pausas & Fernández-Muñoz 2012).

In the last decades, atmospheric concentrations of major anthropogenic air pollutants such as particulate matter and sulphur dioxide (SO<sub>2</sub>) have decreased in Southern Europe due to emission

control policies and greener technologies (Querol *et al.*, 2014; Barros *et al.*, 2015; Aguilhaume *et al.*, 2016; Àvila & Aguilhaume, 2017). However, mitigation strategies have not been equally effective with other compounds such as reactive N and tropospheric O<sub>3</sub> (Figure. 2; Paoletti, 2006; García-Gómez *et al.*, 2014; Sicard *et al.*, 2016). For example, recent increases in N deposition, particularly dry deposition of NO<sub>3</sub>, have been detected in North-eastern Spain, where N deposition is estimated in the range of 15-30 kg N ha<sup>-1</sup> yr<sup>-1</sup> (Avila & Rodà, 2012; Camarero & Catalan, 2012; Aguilhaume *et al.*, 2016). This has been attributed to increased nitrogen oxide (NO<sub>x</sub>) and ammonia (NH<sub>3</sub>) emissions and changes in precipitation patterns (Aguillaume *et al.*, 2016). Background O<sub>3</sub> pollution is typically high in Mediterranean climates due to the meteorological conditions of the area (Paoletti, 2006) and recent reviews have demonstrated that while O<sub>3</sub> in cities has generally increased, no clear trend, or only a slight decrease, has been detected in rural areas (Sicard *et al.*, 2013; Querol *et al.*, 2014); the annual average at rural western Mediterranean sites over the period 2000-2010 was 33 ppb, with a modest trend of -0.22% year<sup>-1</sup> (Sicard *et al.*, 2013). The Mediterranean Basin is also exposed to frequent African dust intrusions, which can naturally increase the level of suspended particulate matter and nutrient deposition, changing the chemical composition of the atmosphere (Escudero *et al.*, 2005; Marticorena & Formenti, 2013; Àvila & Aguilhaume, 2017). This has profound impacts on the biogeochemical cycles of both aquatic and terrestrial ecosystems (Mona *et al.*, 2006), further exacerbating the negative consequences of air pollution and climate change on ecosystem and human health.

In this review, originated as a result of the 1<sup>st</sup> CAPERmed (Committee on Air Pollution Effects Research on Mediterranean Ecosystems; <http://capermed.weebly.com/>) Conference in Lisbon, Portugal, we (i) summarize the current knowledge about atmospheric pollution trends and effects, and their interactions with climate change, in terrestrial ecosystems of the Mediterranean



Basin, (ii) identify research gaps that need to be urgently filled, and (iii) recommend future steps. Due to lack of information for other regions within the Mediterranean Basin, we mainly focused our review on studies carried out in south-western European countries (France, Italy, Portugal and Spain). In contrast, we discuss information generated through a variety of experimental approaches (field manipulation experiments, greenhouse studies, open top chambers [OTCs], observational studies, modelling, etc.) from studies carried out in a wide range of representative natural (e.g., shrublands, grasslands, woodlands and forests) and semi-natural (e.g., *montados* or *dehesas*) ecosystems.

## **Measurement and modelling of atmospheric pollution and deposition**

Estimating pollutant deposition loadings, particularly dry deposition, still presents important uncertainties and challenges, both in terms of modelling and measurements (Simpson *et al.*, 2014). This is particularly true in studies at small regional scales and in regions with complex topography or under the influence of local emission sources (García-Gómez *et al.*, 2014), which is very often the case in the Mediterranean Basin. Dry deposition in Mediterranean ecosystems can represent the main input of atmospheric N, contributing up to 65-95% of the total deposition (Figure 2b; Sanz *et al.*, 2002; Avila & Rodà, 2012). For example, wet N deposition at the Levantine border of the Iberian Peninsula can be considered low to moderate (2 - 7.7 kg N ha<sup>-1</sup> yr<sup>-1</sup>), but total N deposition loads are comparable to more polluted areas in central and northern Europe (10 - 24 kg N ha<sup>-1</sup> yr<sup>-1</sup>) when dry deposition is included (Avila & Rodà, 2012). Given that dry deposition is important in the Mediterranean Basin but is also difficult to measure, we should ideally combine modelled dry deposition with wet deposition measures from representative monitoring stations. A recent modelling analysis has also highlighted that mountain ecosystems in Spain, where

monitoring stations are even scarcer, are frequently exposed to exceedances of empirical critical N loads (García-Gómez *et al.*, 2014, 2017). Moreover, mountain areas of the Mediterranean Basin also frequently register very high O<sub>3</sub> concentrations that are not recorded in air quality monitoring networks (Díaz-de-Quijano *et al.*, 2009; Cristofanelli *et al.*, 2015; Elvira *et al.*, under review). This observation should encourage the inclusion of monitoring stations in mountain areas in air quality networks in the Mediterranean Basin to protect these highly valuable and vulnerable ecosystems (García-Gómez *et al.*, 2017). Another important aspect to be considered in both deposition monitoring networks and model-based estimates is the quantification and characterization of ammonium (NH<sub>4</sub><sup>+</sup>) and the organic N fraction (Jickells *et al.*, 2013; Fowler *et al.*, 2015). Dissolved organic N (DON) can represent a significant component of wet and dry deposition fluxes but it is often overlooked and not routinely assessed (Mace, 2003; Violaki *et al.*, 2010; Im *et al.*, 2013; Izquieta-Riojano & Elustondo, 2017). However, DON fluxes may have significant implications in terms of critical loads, reaching up to 34-56% of the total N deposition (12 kg DON ha<sup>-1</sup> yr<sup>-1</sup>) in Mediterranean agricultural areas (Izquieta-Rojano *et al.*, 2016a). The quantification of temporal trends in air pollution is equally important for evaluating the impact of changing precursor emissions and informing local and regional air quality strategies.

## **Impacts of atmospheric pollution and climate change on natural and semi-natural terrestrial ecosystems**

The ecological impacts of air pollution (particularly for N deposition and O<sub>3</sub>) on natural and semi-natural ecosystems have been primarily studied in the temperate and boreal regions of Europe and North America and, more recently, in steppe and subtropical areas of China (Paoletti, 2006; Xia & Wan, 2008; Bobbink *et al.*, 2010; Ochoa-Hueso, 2017). In contrast, much less is known for

192 Mediterranean Basin ecosystems, which differ from these better-studied ecosystems in critical  
193 aspects that justify their separate consideration, such as their much-higher levels of biodiversity  
194 (particularly for plants) and their higher-than-average levels of biologically-relevant spatial and  
195 temporal environmental heterogeneity, including the characteristic summer drought period  
196 (Cowling *et al.*, 1996; Myers *et al.*, 2000). Most studies on the impacts of atmospheric pollution in  
197 terrestrial ecosystems from the Mediterranean Basin have been carried out in just a small part of  
198 the geographic area (i.e. certain localities in Italy, Portugal and Spain) and have used different  
199 experimental design and methodologies (Fig. 1 and Supplementary Table 1). Similarly, instead of  
200 taking advantage of the development of statistical methods to integrate responses at the ecosystem  
201 level (e.g., structural equation modelling; Eisenhauer *et al.*, 2015), studies have typically focused  
202 solely and independently on plants (community or, more frequently, individual species), lichens  
203 (community or, again more frequently, individual species) and soil properties (soil  
204 biogeochemistry, structure and functioning; Supplementary Table 1). One notable exception to this  
205 is NitroMed, a unique network of three comparable N addition experimental sites (Capo Caccia [0  
206 and 30 kg N ha<sup>-1</sup> yr<sup>-1</sup>], Alambre [0, 40 and 80 kg N ha<sup>-1</sup> yr<sup>-1</sup>], and El Regajal [0, 10, 20 and 50 kg  
207 N ha<sup>-1</sup> yr<sup>-1</sup>]; see Figure 3b, f and h) that is currently using common experimental methodology and  
208 structural equation modelling to understand the cause-effect mechanisms that determine changes  
209 in gas (CO<sub>2</sub>) exchange and litter decomposition and stabilization rates in response to N deposition  
210 in semiarid Mediterranean ecosystems (see Ochoa-Hueso and Manrique 2011 and Dias *et al.* 2014  
211 for further details on experimental methodologies). Preliminary results suggest that N deposition  
212 increases soil N availability and reduces soil pH which, in turn, has an effect on microbial  
213 community structure (lower fungi to bacteria ratio) and overall enzymatic activity, direct  
214 responsible for reduced litter decomposition and higher stabilization rates (Lo Cascio *et al.*, 2016).

215 Similarly, a new coordinated project is looking at the effects of N addition at realistic doses (20  
216 and 50 kg N ha<sup>-1</sup> yr<sup>-1</sup>), in conjunction with P, on alpine ecosystems from five National Parks in  
217 Spain. Moreover, most of these studies addressed the impact of one global change driver alone  
218 (often increased N availability, mostly the N load, or O<sub>3</sub>) and so comprehensive studies on the  
219 interaction between global change drivers (e.g., air pollution and climate change) are few. However,  
220 recent studies have described a heterogeneous response of annual pasture species to O<sub>3</sub> and N  
221 enrichment, with legumes being highly sensitive to ozone but not N, while grasses and herbs were  
222 more tolerant to O<sub>3</sub> and more responsive to N (Calvete-Sogo *et al.*, 2016). Thus the interactive  
223 effects of O<sub>3</sub> and N can alter the structure and species composition of Mediterranean annual  
224 pastures via changes in the competitive relationships among species (González-Fernández *et al.*,  
225 2013 and references therein; Calvete-Sogo *et al.*, 2014, 2016). Similarly, only a few studies have  
226 addressed the impacts on edaphic fauna and above- and below-ground biotic interactions such as  
227 mycorrhiza, biological N fixation, herbivory or pollination in ecosystems from the Mediterranean  
228 Basin (Supplementary Table 1 and references therein), despite the relevance of ecological  
229 interactions to healthy, functional ecosystems (Tylianakis *et al.*, 2008). For example, Ochoa-Hueso  
230 *et al.* (2014a) found that edaphic faunal abundance, particularly collembolans, increased in  
231 response to up to 20 kg N ha<sup>-1</sup> yr<sup>-1</sup> and then decreased with 50 kg N ha<sup>-1</sup> yr<sup>-1</sup>, whereas 10 kg N ha<sup>-1</sup>  
232 yr<sup>-1</sup> were enough to completely suppress soil microbial N fixation (Ochoa-Hueso *et al.*, 2013a).  
233 Another notable exception is Ochoa-Hueso (2016), who showed how even low-N addition levels  
234 (10 kg N ha<sup>-1</sup> yr<sup>-1</sup>) can completely disrupt the tight coupling of the network of ecological  
235 interactions in a semiarid ecosystem from central Spain, despite the lack of evident response of  
236 most of the individual abiotic and biotic ecosystem constituents evaluated (i.e., soils, microbes,  
237 plants and edaphic fauna). Ozone and N soil availability can also alter volatile organic compound

(VOC) emissions, and thus biosphere-atmosphere interactions, of some Mediterranean tree and annual pasture species. The consequences of these interactions need to be further studied (Peñuelas *et al.*, 1999; Llusà *et al.*, 2002; Llusà *et al.*, 2014). Therefore, a more comprehensive and integrative experimental approach is urgently needed to fully capture the real consequences of air pollution in the Mediterranean region.

#### *Sensitivity of Mediterranean forests to air pollution and climate change*

Mediterranean forest ecosystems have naturally evolved cross-tolerance to deal with harsh environmental conditions (Paoletti, 2006; Matesanz & Valladares, 2014). However, climate change, N deposition and O<sub>3</sub> are currently threatening Mediterranean forests in unprecedented and complex manners, with consistent stoichiometric responses to increased N deposition (higher leaf N:P ratios; Sardans *et al.* 2016), but with physiological and growth-related consequences forecasted to vary among the three main tree functional types (i.e., conifers, evergreen broadleaf trees, and deciduous broadleaf trees). As deposition increases, photosynthesis, water use efficiency, and thus growth, often increase in conifers (Leonardi *et al.*, 2012), although under chronic N deposition, other nutrients such as P can become more limiting, counteracting the initial benefits of more N availability (Blanes *et al.*, 2013). Nitrogen deposition could also increase pine mortality rates in response to drought due to a decline of ectomycorrhizal colonization rates, a phenomenon of widespread occurrence in US dryland woodlands (Allen *et al.*, 2010). On the other hand, their low stomatal conductance and their high stomatal sensitivity to vapour pressure deficit and water availability might limit the diffusion of O<sub>3</sub> to the mesophyll (Flexas *et al.*, 2014). Similarly, conservative strategies of water and nutrient-use may also play a key role in allowing conifers to keep a positive balance between assimilation and respiration in response to climate change (Way

& Oren, 2010). However, O<sub>3</sub> exposure might be impairing their ability to withstand other environmental stresses such as those triggered by drought, high temperature and solar radiation (Barnes *et al.*, 2000; Alonso *et al.*, 2001).

In contrast, evergreen broadleaf species inhabiting resource-poor ecosystems might be jeopardized by N deposition by shifting biomass partitioning (Cambui *et al.*, 2011) and altering allometric ratios (e.g., leaf area/sap wood or root/leaf biomass), which may have consequences for their ability to deal with water stress, particularly in the context of the characteristic summer drought period and climate change (Martinez-Vilalta *et al.*, 2003; Mereu *et al.*, 2009). Ecophysiological responses to O<sub>3</sub> vary from down-regulation of photosystems (Mereu *et al.*, 2009) to reduced stomatal aperture and increased stomatal density (Fusaro *et al.*, 2016) and sluggishness (Paoletti & Grulke, 2005, 2010). However, Mediterranean vegetation usually has efficient antioxidant defences (Nali *et al.*, 2004), which are key factors in O<sub>3</sub> tolerance (Calatayud *et al.*, 2011; Mereu *et al.*, 2011), and is usually known to be more O<sub>3</sub>-tolerant than mesophilic broadleaf trees (Paoletti, 2006). Nevertheless, biomass losses and allocation shifts cannot be excluded, especially as a consequence of synergistic effects of N deposition and drought, although local differentiation may result in significant intraspecific tolerance differences (Alonso *et al.*, 2014; Gerosa *et al.*, 2015).

Responses of deciduous broadleaf species to N deposition may be modulated by water and background nutrient availability (mainly P) but, in general terms, growth is favoured over storage (Ferretti *et al.*, 2014). In contrast, broadleaf tree species are highly sensitive to climate change, particularly to the combination of drought and increased temperature (Lopez-Iglesias *et al.*, 2014), which also suggests relevant interactions between air pollution and climate change. In this direction, De Marco *et al.* (2014) predicted that crown defoliation will increase in Mediterranean

environments due to drought events and higher temperatures by 2030, a phenomenon that could be exacerbated by excessive N. Deciduous broadleaf species also have lower capacity to tolerate oxidative stress than evergreen broadleaf species due to traits such as thinner leaves and higher stomatal conductance (Calatayud *et al.*, 2010). Gas exchange and antioxidant capacity in deciduous broadleaves are, therefore, generally more affected by high O<sub>3</sub> concentrations than in evergreen broadleaves (Bussotti *et al.*, 2014). Based on their levels of visible foliar injury and expert judgement, deciduous broadleaf species range from highly to moderately sensitive species such as *Fagus sylvatica* and *Fraxinus excelsior*, respectively (Baumgarten *et al.*, 2000; Tegischer *et al.*, 2002; Gerosa *et al.*, 2003; Deckmyn *et al.*, 2007; Paoletti *et al.*, 2007; Sicard *et al.*, 2016), to O<sub>3</sub>-tolerant species like some *Quercus* species (*Q. cerris*, *Q. ilex* and *Q. petraea*; Gerosa *et al.* 2009; Calatayud *et al.* 2011; Sicard *et al.* 2016).

Relatively little is known about the effects of O<sub>3</sub> on annual, perennial and woody understory vegetation of Mediterranean forest ecosystems. Under experimental conditions, some species characteristic of the annual grasslands associated with *Q. ilex dehesas* have high O<sub>3</sub> sensitivity. Interestingly, N fixing legumes, of higher nutritional value, are more O<sub>3</sub> sensitive than grasses (Bermejo *et al.*, 2004; Gimeno *et al.*, 2004), particularly in terms of flower and seed production (Sanz *et al.*, 2007), which could affect their competitive fitness and, ultimately, reduce the economic value of the pasture. Nitrogen availability can partially counterbalance O<sub>3</sub> effects on aboveground biomass when the levels of O<sub>3</sub> are moderate, but O<sub>3</sub> exposure reduces the fertilization effect of higher N availability (Calvete-Sogo *et al.*, 2014). Anyhow, given that O<sub>3</sub> levels are higher in summer, when herbaceous species are dormant, Mediterranean species that are summer-active such as pines and oaks are more likely to be directly affected by O<sub>3</sub> than forbs and grasses. This suggests that the seasonality of O<sub>3</sub> concentrations as well as plant phenology and functional type

must be considered if we are to fully understand the consequences of air pollution on the highly diverse Mediterranean plant communities. A unique ozone FACE (free air controlled experiment) is now available in the Mediterranean Basin (Figure 3) to help fill this gap (Paoletti *et al.*, in preparation).

#### *Role of environmental context in the response of biodiversity and C sequestration*

The local abiotic (e.g., climate, soil properties) and biotic (e.g., vegetation type, community attributes, etc.) contexts are known to modulate ecosystem responses to environmental drivers at different temporal and spatial scales (Bardgett *et al.*, 2013). Given that plant biodiversity at the regional (10-10<sup>6</sup> km<sup>2</sup>) and local (< 0.1 ha) scales in Mediterranean ecosystems ranks among the highest in the world (Cowling *et al.*, 1996), this is a particularly relevant aspect for the region. Various studies in Mediterranean ecosystems have shown that increased N availability may have a positive (Pinho *et al.*, 2012; Dias *et al.*, 2014), negative (Bonanomi *et al.*, 2006; Bobbink *et al.*, 2010) or even no effect (Dias *et al.*, 2014) on plant species richness, which is probably due to cumulative effects and modulating factors such as the ecosystem type, the initial N status of the system, the dominant form of mineral N in the soil (NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>), and/or the N form added. Positive effects on species richness, however, have only been observed in areas characterized by strong environmental stress and low nutrient availability (e.g., open arid and semiarid Mediterranean ecosystems) and are often associated with an increase in nitrophytic and weedy species (Bobbink *et al.*, 2010; Pinho *et al.*, 2011; Dias *et al.*, 2014). The presence and density of shrubs, as well as the availability of inorganic phosphorus (P) and other macro and micronutrients, can also modulate the response of the herbaceous vegetation to N addition and plant invasion in semiarid Mediterranean areas (Ochoa-Hueso *et al.*, 2013b; Ochoa-Hueso & Stevens, 2015). For example,



330 Ochoa-Hueso & Manrique (2014) found that N addition increased the nitrophytic element,  
331 particularly native crucifers, only when these species were present in the seed bank in relevant  
332 densities and there was sufficient P, whereas a closed scrub vegetation is known to be less  
333 susceptible to invasion by N-loving species than open shrublands, woodlands and grasslands (Dias  
334 *et al.*, 2014). The role of soil nutrient availability, typically lower than in other Mediterranean-type  
335 ecosystems such as those from Chile (Cowling *et al.*, 1996), in the ecosystem response to extra N  
336 can also be linked to induced nutrient imbalances, particularly N in relation to P, and therefore to  
337 an alteration of ecosystem stoichiometry (Ochoa-Hueso *et al.*, 2014b; Sardans *et al.*, 2016).

338         The behaviour of terrestrial ecosystems as a global C sink or source under increased N  
339 deposition or O<sub>3</sub> pollution scenarios is currently a research hot-topic and is of paramount  
340 importance for the mitigation of climate change (Felzer *et al.*, 2004; Reich *et al.*, 2006; Pereira *et*  
341 *al.*, 2007). Recent studies have suggested that seasonally water-limited ecosystems, such as those  
342 typically found in the Mediterranean Basin, may have a disproportionately big role in the inter-  
343 annual C sink-source dynamics at the global scale due to higher C turnover rates (Poulter *et al.*,  
344 2014); this is attributed to their large inter-annual climatic variability, with unusually wet years  
345 contributing to strengthen the terrestrial C sink but where multiple processes like fire or rapid  
346 decomposition could result in a rapid loss of most of the accumulated C. These aspects are,  
347 however, still poorly understood in Mediterranean ecosystems, where different studies have  
348 reported contrasting results (Ochoa-Hueso *et al.*, 2013a, 2013c; Ferretti *et al.*, 2014). In  
349 Mediterranean ecosystems, ecosystem C storage should, therefore, be evaluated in terms of altered  
350 abundance and patterns of rainfall (both within and between years) (Pereira *et al.*, 2007), in relation  
351 to the levels of N saturation (NO<sub>3</sub><sup>-</sup>) and toxicity (NH<sub>4</sub><sup>+</sup>) in soil (Dias *et al.*, 2014), as well as other  
352 site-dependent characteristics such as dominant vegetation, soil type (texture and pH), and stand

history and age (Ferretti *et al.*, 2014). Experimental and observational field studies suggest that, at least in the short-term, seasonal and inter-annual dynamics may override any potential effect of atmospheric N pollution, despite potential cumulative negative impacts in the long-term due to an overall decline in ecosystem health (Ochoa-Hueso *et al.*, 2013c; Ferretti *et al.*, 2014).

Although within the Mediterranean Basin there is still a large gap in the knowledge of the impacts of atmospheric pollution and climate change on natural and semi-natural ecosystems, taken together, all the scattered information available suggests the particularly key role of spatial and temporal environmental heterogeneity, biotic interactions, and ecosystem stoichiometry in mediating the ecosystem response to air pollution.

#### *Critical loads and levels*

The concepts of critical loads and critical levels were developed within the United Nations Economic Commission for Europe (UNECE) Convention on Long-Range Transboundary Air Pollution (CLRTAP) for assessing the risk of air pollution impacts to ecosystems and defining emission reductions. This tool is commonly used to anticipate negative effects of air pollution and, therefore, to protect ecosystems before the changes become irreversible. The derivation of empirical critical loads for nutrient N is based on experimental activities performed on different vegetation types and they are assigned to habitat classes, while the derivation of NH<sub>3</sub> and NO<sub>x</sub> critical levels is based on the responses of broad vegetation types such as higher plants or lichens and bryophytes. The pan-European critical level for atmospheric NH<sub>3</sub> is currently set at an annual mean of 1 µg m<sup>-3</sup> for lichens and bryophytes and 3 µg m<sup>-3</sup> for higher plants, while the NO<sub>x</sub> critical level for all vegetation types is an annual mean of 30 µg m<sup>-3</sup> (CLRTAP, 2011). Although some

modelling approaches exist to define N critical loads, the identification of empirical critical loads is recommended for Mediterranean ecosystems due to its particularities such as co-occurrence with other pressures and high seasonality (de Vries *et al.*, 2007; Fenn *et al.*, 2011). Empirical critical loads of N for European-Mediterranean habitats have only been proposed for four ecosystems: (1) Mediterranean xeric grasslands (EUNIS [European Nature Information System] E 1.3), 15-25 kg N ha<sup>-1</sup> yr<sup>-1</sup>; (2) Mediterranean maquis (F5), 20-30 kg N ha<sup>-1</sup> yr<sup>-1</sup>; (3) Mediterranean evergreen (*Quercus*) woodlands (G 2.1), 10-20 kg N ha<sup>-1</sup> yr<sup>-1</sup>, and (4) Mediterranean *Pinus* woodlands (G 3.7), 3-15 kg N ha<sup>-1</sup> yr<sup>-1</sup> (Bobbink & Hettelingh, 2011). However, these critical loads are based on very little information and are thus classified as expert judgement. Similarly, NH<sub>3</sub> critical levels have only been set for Mediterranean evergreen woodlands and dense holm oak forests. Critical levels of atmospheric NH<sub>3</sub> of < 1.9 and 2.6 µg m<sup>-3</sup> have been estimated for evergreen woodlands surrounded by intensive agricultural landscapes (Pinho *et al.*, 2012; Aguiillaume, 2015), while for evergreen woodlands under little agricultural influence but strong oceanic influence, the critical level was estimated to be 0.69 µg m<sup>-3</sup> (Pinho *et al.*, 2014). Nevertheless, the N critical loads and NH<sub>3</sub> critical levels for many European-Mediterranean ecosystems remain unstudied, despite their relevance for protecting relatively undisturbed and oligotrophic ecosystems. Therefore, long-term manipulation experiments across a range of typical Mediterranean terrestrial ecosystems are desperately needed to obtain a more complete set of reliable empirical critical N loads and levels for the Mediterranean Basin (Bobbink *et al.*, 2010; Bobbink & Hettelingh, 2011). Ozone critical levels have also been proposed for the protection of natural vegetation at European level for two vegetation types, forests and semi-natural vegetation (CLRTAP, 2011). The new flux-based O<sub>3</sub> critical levels allow species-specific physiological conditions and O<sub>3</sub> uptake mechanisms to be

included considering the particularities of Mediterranean species. Interestingly, multiple studies performed with Mediterranean tree species recommend higher O<sub>3</sub> critical levels for the protection of Mediterranean forests than the values currently accepted (Calatayud et al., 2011; Alonso et al., 2014; Gerosa et al., 2015). The possible definition of different O<sub>3</sub> critical levels for different biogeographical regions or vegetation types is currently under analysis within the Convention (CLRTAP, 2011).

#### *Cryptogams as indicators of the impact of air pollution and climate change*

Lichens and bryophytes (i.e., cryptogams), very often used in the definition of critical loads and levels, are important components of the vegetation in Mediterranean ecosystems. These organisms are key drivers of ecosystem properties (soil aggregation and stability) and processes (C and N fixation and nutrient cycling), particularly in the case of biological soil crusts (hereafter biocrusts), a functionally-integrated association of cyanobacteria, protists, fungi, mosses and lichens inhabiting the first millimetres of soil (Cornelissen *et al.*, 2007; Maestre *et al.*, 2011). Cryptogams are usually extremely sensitive to environmental changes and so they often provide early-warning indicators of impacts before any other constituent of the ecosystem, particularly in the case of N (Pardo *et al.*, 2011; Munzi *et al.*, 2012). For example, mosses have been used in N deposition surveys under the ICP-Vegetation framework (Harmens *et al.*, 2014). The results showed that N concentration in mosses can potentially be used as an indicator of total atmospheric N deposition. Similarly, Root *et al.* (2013) showed that lichens can be a suitable tool for estimating throughfall N deposition in forests. However, the relationship between N deposition and tissue N concentration can also be affected by environmental factors such as local climate and the form of N deposition.

Mosses and lichens have been instrumental to the evaluation of the impacts of global change drivers on temperate and boreal ecosystems (e.g., Arróniz-Crespo *et al.* 2008), though the number of studies carried out in Mediterranean ecosystems is very limited. Recent studies have, however, reported significant impacts of increased N deposition on Mediterranean biocrust and epiphytic communities. For example, two studies carried out in the Iberian peninsula found higher tissue N content and a shift from N to P limitation in the terricolous moss *Tortella squarrosa* (= *Pleurochaete squarrosa*; Ochoa-Hueso & Manrique 2013; Ochoa-Hueso *et al.* 2014a). Similarly, an alteration of physiological and chemical responses in lichen transplants (Branquinho *et al.*, 2010; Paoli *et al.*, 2010, 2015) and a shift in epiphytic lichen communities from oligotrophic-dominated to nitrophytic-dominated species have also been reported in Portugal (Pinho *et al.*, 2008, 2009) and Spain (Aguillaume, 2016). Recent studies have also observed a change in the isotopic N composition of mosses due to the impact of N from fuel combustion sources (shift to more positive  $\delta^{15}\text{N}$  signature) and agricultural activities (shift to more negative  $\delta^{15}\text{N}$  signature; Delgado *et al.*, 2013; Varela *et al.*, 2013; Izquieta-Rojano *et al.*, 2016b). Cryptogam traits (e.g., morphology, anatomy, life form) are also strongly connected to water availability. For example, mosses from dry habitats are organized in dense cushions, naturally retaining water by capillarity and dehydrating slowly, whereas mosses from moist habitats have a less dense morphology and require the activation of specific mechanisms to survive during dry periods (Arróniz-Crespo *et al.*, 2011; Cruz de Carvalho *et al.*, 2011, 2012, 2014). Similarly, lichen growth form and photobiont type have been shown to be relevant traits in the response to water availability in Mediterranean areas (Concostrina-Zubiri *et al.*, 2014; Matos *et al.*, 2015). Cryptogam traits related to water availability could, therefore, be equally effective biomarkers to detect climate-induced hydrological changes in Mediterranean ecosystems but the application of biomonitoring techniques using cryptogams in

the Mediterranean region may be complicated by the fact that cryptogam species are simultaneously exposed to both severe water restriction and pollution, and some biomarkers (e.g., ecophysiological responses) are similarly affected by both stress factors (Pirintsos *et al.*, 2011). Thus, we need to disentangle the multiple environmental drivers (Munzi *et al.*, 2014a), possibly by integrating physiological and ecological data to understand the specific response mechanisms to different ecological parameters and environmental changes (Munzi *et al.*, 2014b).

#### *Anticipating global tipping points using ecological indicators*

The fact that ecosystem responses to air pollution and climate change are very often non-linear may complicate the use of bioindicators in the Mediterranean Basin. Non-linear dynamics often manifest in the form of tipping points, defined as ecosystem thresholds above which a larger-than-expected change happens, shifting ecosystems from one stable state to another stable state (Scheffer & Carpenter, 2003). Due to its climatic peculiarities, tipping points may be particularly relevant for the Mediterranean Basin. One example is the ability of soils to store extra mineral N. Above a certain N deposition value, N-saturated soils will start leaching N down into the soil profile. This excessive N can also accumulate as inorganic N in seasonally dry soils and be leached by surface flows that, as in the case before, will eventually reach and, therefore, pollute aquifers and watercourses (Fenn *et al.*, 2008). Another relevant example is related to increased fire risk due the accumulation of highly flammable leaf litter, particularly from exotic grasses, as a consequence of N deposition; above a certain N deposition threshold the probability of a fire to occur increases exponentially, priming the ecosystem for a state change (Rao *et al.*, 2010).

Despite the potential prevalence of tipping point-like dynamics in Mediterranean ecosystems in response to air pollution and climate change, we are not aware of any vegetation-

based tools available to predict ecosystem thresholds in the Mediterranean Basin context. A notable exception is the work by Berdugo *et al.* (2017), who suggested that changes in the spatial configuration of drylands may be an early-warning indicator of desertification. However, we suggest that if we are to aim for universal indicators of environmental change (i.e., at wide geographical ranges) and to account for the role of the environmental context as a driver (i.e., across ecosystem types), functional trait-based approaches (e.g., functional diversity and community weighted mean trait values [CWM]) should be preferred over other widely used indicators, including species richness (Jovan & McCune, 2005; Valencia *et al.*, 2015). Functional diversity and CWM are independent of species identity and may be functionally linked to the environmental variable of interest (e.g., oligotrophic species, nitrophytic species, or subordinate species responding to eutrophication, species-specific leaf litter traits, etc.). More research is, however, needed to integrate these concepts (ecological indicators, ecological thresholds and functional diversity) in a meaningful way.

#### **Linking functional diversity to the provision of ecosystem services**

The universal applicability and ecological relevance of the functional trait diversity concept makes it equally valuable to establish possible connections between global environmental change and the loss of ecosystem services. Ecosystem services that may be impaired by air pollution and climate change and that may be particularly associated with changes in functional diversity include C sequestration, soil fertility and nutrient cycling and pollination, among many others. However, research on the link between functional diversity and ecosystem services is lagging behind in the Mediterranean region where only a few controlled experiments exist (Hector *et al.*, 1999; Pérez-Camacho *et al.*, 2012; Tobner *et al.*, 2014; Verheyen *et al.*, 2016), species trait databases are still

incomplete (Gachet *et al.*, 2005; Paula *et al.*, 2009), and field surveys along climatic and air pollution gradients are only recently starting to emerge (De Marco *et al.*, 2015; Sicard *et al.*, 2016).

The few studies available within the Mediterranean Basin context have shown that N deposition has already induced changes in functional diversity of epiphytic lichens along a NH<sub>3</sub> deposition gradient in Mediterranean woodlands, with a drastic increase and decrease of nitrophytic and oligotrophic species, respectively, (Pinho *et al.*, 2011). Similarly, a continuous increase of nitrophytic species (plants, lichens, mosses) has been detected in the Iberian Peninsula for the period 1900-2008 using the Global Biodiversity Information Facility (GBIF) database (Ariño *et al.*, 2011). Increased N availability in nutrient-poor ecosystems like Mediterranean maquis can also alter plant functional composition (e.g., higher proportion of short-lived species in relation to summer semi-deciduous and evergreen sclerophylls), leading to changes in litter amount and quality (e.g. higher proportion of evergreen sclerophyll litter from affected shrubs and a general increase in lignin and N content in litter and a decrease in lignin/N ratio) and microbial community (e.g., reduction in biomass and activity), thus affecting nutrient cycling (an ecosystem function) and, therefore, soil fertility (including soil C accumulation, an ecosystem service) (Dias *et al.*, 2010, 2013, 2014). In another study, Concostrina-Zubiri *et al.* (2016) showed that livestock grazing greatly affected the abundance and functional composition of moss–lichen biocrusts in a Mediterranean agro-silvo-pastoral system, with direct negative consequences on microclimate regulation and other ecosystem processes (CO<sub>2</sub> fixation, habitat provision and soil protection). This also affected the cork-oak regeneration processes, one of the traditional and most economically valuable services in these systems. Given the negative impacts of air pollution on cryptogamic biocrusts, a similar effect of air pollution on the cork-oak regeneration processes mediated by biocrusts might be expected.



511

512 **Common experimental design, data sharing and global networks**

513 The understanding of the ecological impacts of pollution and climate change across the  
514 Mediterranean region would improve through co-ordinated efforts and networks, which could take  
515 several forms. One possible approach is the use of large-scale regional surveys on existing pollution  
516 gradients representative of the current range of pollution loads (e.g., from big cities and/or  
517 extensive agricultural areas to their periphery). This approach was successfully used to survey 153  
518 acid grasslands in ten countries across the Atlantic biogeographic zone of Europe (significantly less  
519 biodiverse than their Mediterranean counterparts) (Stevens *et al.*, 2010), where each partner  
520 surveyed sites in their local area according to an agreed protocol. Other networks have been  
521 successful using experimental approaches. For example, the Nutrient Network (NutNet) is a global  
522 network of over 90 sites following a common experimental protocol for nutrient addition and  
523 grazing (Borer *et al.*, 2014). Similarly, the previously presented NitroMed network, originated  
524 within the CAPER<sub>med</sub> platform, aims at using the same experimental protocols to integrate results  
525 from three comparable experiments in semiarid Mediterranean ecosystems. Other experimental  
526 networks have not used common experimental protocols, but through coordinated analyses have  
527 added value to individual experiments (Phoenix *et al.*, 2012). Co-ordinated experimental networks  
528 (e.g., low-cost N addition experiments) bring many advantages such as the ability to assess the  
529 general applicability of results, additional statistical power resulting from well-established and  
530 robust statistical methods (e.g., linear mixed effects models, hierarchical Bayesian models,  
531 structural equation modelling), and opportunities to explore interactions with other natural and  
532 human-caused gradients such as climate, ecosystem and soil type, land use, atmospheric pollution  
533 (including O<sub>3</sub> gradients), etc. They can also provide support and collaboration for individual

534 scientists. An inventory of the existing sites with manipulation experiments in the Mediterranean  
535 Basin would provide added value to the individual sites through the implementation of common  
536 protocols and experiments.

537         In the Mediterranean region, another path to follow may be to build upon existing research  
538 and to participate more in already existing large-scale initiatives, in which the Mediterranean  
539 research community is not particularly well-represented. For example, interacting with the  
540 International Long Term Ecological Research (ILTER) network or with the International  
541 Cooperative Programme (ICP), established under the United Nation Economic Commission for  
542 Europe (UNECE) “Convention on Long-Range Transboundary Air Pollution” (CLRTAP) that  
543 includes several initiatives such as ICP Forest, ICP-Vegetation, and ICP-IM, would facilitate the  
544 collection of large-scale spatial and temporal data series. Cooperation with other more specific  
545 networks like NitroMed (N deposition), ICOS (C cycle), and GLORIA (Alpine environments)  
546 would also help to establish a wider and more collaborative research community focused on air  
547 pollution impacts in Mediterranean terrestrial ecosystems.

548         The need of more coordination and investment to better understand the Mediterranean  
549 responses to climate change and air pollution has already been acknowledged by several groups of  
550 scientists both at the European (e.g. CAPER<sub>med</sub>) and global scales (e.g. MEDECOS). These  
551 groups not only represent suitable arenas to discuss scientific results, but can also provide leading  
552 members able to manage the above-mentioned research and networking activities. However, all the  
553 above mentioned presented approaches require considerable funding and determined political  
554 support to foster the exchange of information and best practices across the entire Mediterranean  
555 region and, thus, to promote the development of concrete projects and initiatives. In this context,  
556 the European Commission, through funding programs like Horizon 2020, could and should have,

in our opinion, a pivotal role in supporting research projects (as it happened with the CIRCE project) and to provide the logistic means for transferring the scientific knowledge to the society.

Increasing awareness about the effects of climate change and pollution among stakeholders and society is encouraging the development of several European and Pan-European Programs (e.g. UNECE/ICP, Climate-ADAPT). One important step towards the coordinated action of the Mediterranean-basin countries in relation to Adaptation to climate change was the creation of “The Union for the Mediterranean Climate Change Expert Group” (UfMCCEG), a partnership promoting multilateral cooperation between 43 countries (28 EU Member States and 15 Mediterranean countries). These initiatives show that opportunities do exist for countries to make progress. Due to campaigning, and partially because of the considerable losses from extreme weather events in recent years, public awareness in Mediterranean countries about risks associated with climate and air pollution increased. Governments and organisations at the EU level, national and sub-national level, have developed or are in the process of developing adaptation strategies. Therefore, there is an opportunity to make progress by actively engaging actors from all sections of the Mediterranean society.

## **Conclusions and future directions**

The comparatively fewer number of studies on the effects of air pollution and its interactions with climate change on terrestrial ecosystems from the Mediterranean Basin is particularly noteworthy considering the high biodiversity, cultural value, and unique characteristics of this region such as high O<sub>3</sub> levels, dominance of dry deposition over wet deposition, and long dry periods. Therefore, we emphasize the need to urgently implement common and coordinated research and experimental platforms in the Mediterranean region along with wider and more representative environmental monitoring networks. In particular, a robust connection between N deposition monitoring networks

and modelling estimates is crucial. Ideally, monitoring and assessment programs should regularly include a set of common biomonitors such as local and/or transplanted cryptogams to identify local pollutant sources and, thus, help refine pollutant deposition maps (physiological indicators) and to provide early warning indication of potential critical thresholds (community shifts). Only by filling these gaps can the scientific community reach a full understanding of the mechanisms underlying the combined effects of air pollution and climate change in the Mediterranean Basin and, consequently, provide the science-based knowledge necessary for the development of sustainable environmental policies and management techniques and the implementation of effective mitigation and adaptation strategies. Finally, CAPERmed, a bottom-up initiative (from the researchers to the institutions), can be the longed-for catalyst that brings the Mediterranean community together and, therefore, represents an excellent opportunity to make all this happen.

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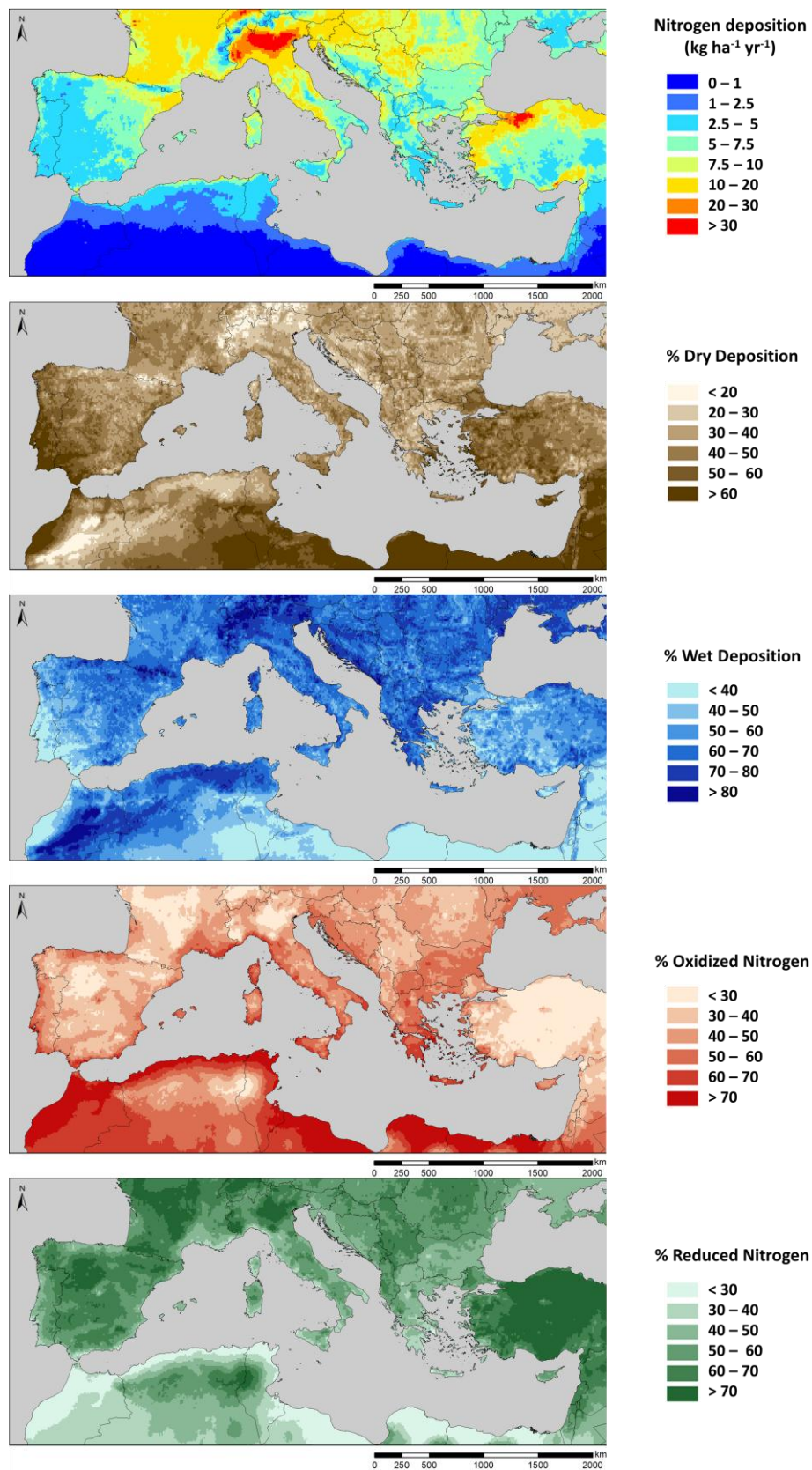
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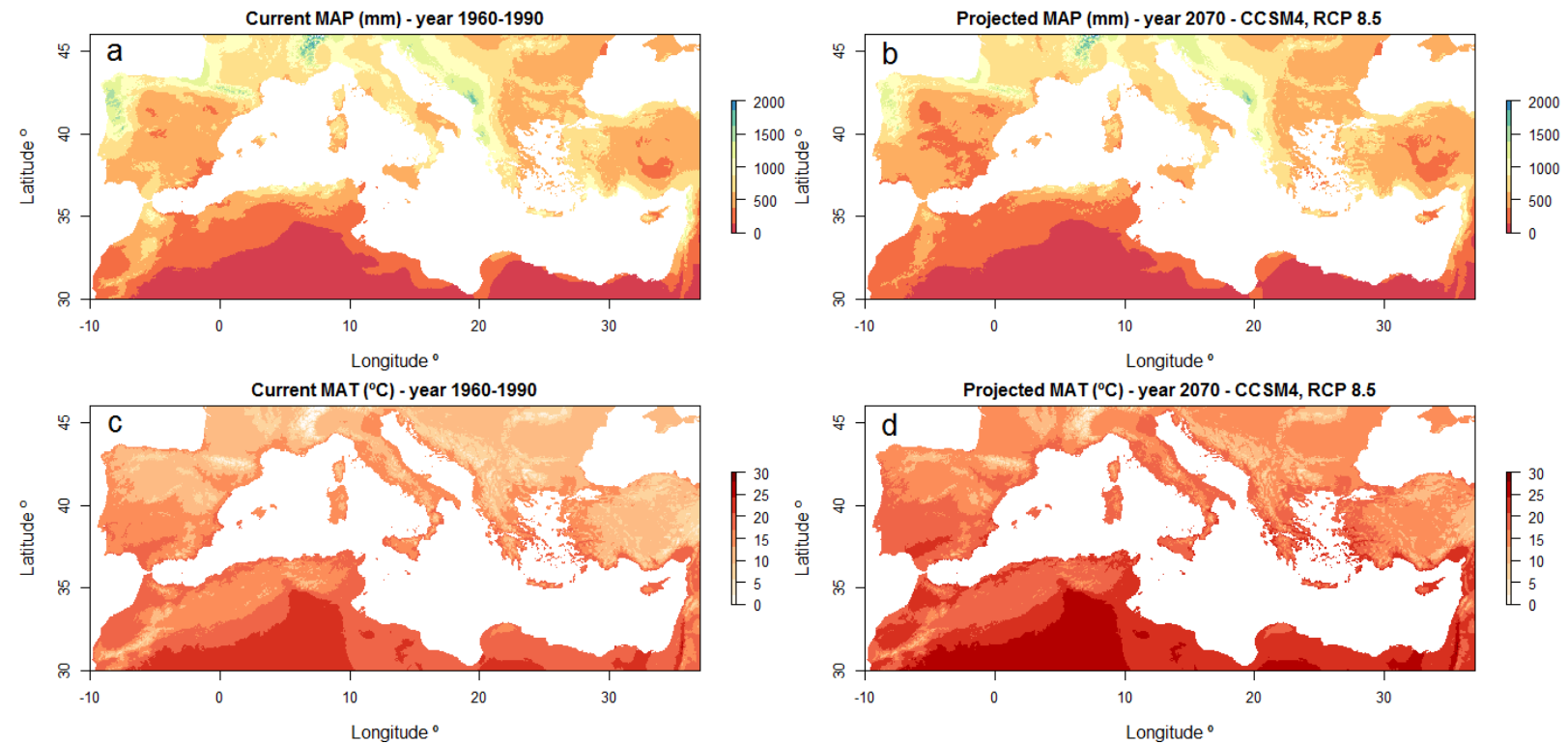
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1065 **Figure 1.** Modeled nitrogen deposition for the Mediterranean region based on the European  
1066 Monitoring and Evaluation Programme (EMEP) model at 0.1°-0.1° longitude-latitude resolution  
1067 (EMEP MSC-W chemical transport model [version rv4.7; [www.emep.int](http://www.emep.int)]). Modelled N deposition  
1068 is based on 2013 emissions data. (a) Total N deposition (oxidized + reduced; dry + wet), (b)  
1069 percentage of dry deposition, (c) percentage of wet deposition, (d) percentage of oxidized  
1070 deposition and (e) percentage of reduced deposition.





**Figure 2.** (a) Mean annual precipitation (MAP) and (b) temperature (MAT) for the year range between 1960-1990. Projected (c) MAP and (d) MAT for the year 2070 based on predictions from the CCSM4 model considering the RCP 8.5 (no mitigation of emissions) IPCC5 scenario. Data obtained from <http://www.worldclim.org/version1> (Hijmans *et al.*, 2005).



1078 **Figure 3.** Examples of terrestrial ecosystems and experimental facilities set up to investigate the  
1079 effects of air pollution and climate change in the Mediterranean Basin (see Supplementary Table 2  
1080 for details): a) Companhia das Lezírias, Samora Correia, Portugal; b) Alambre, Serra da Arrábida,  
1081 Portugal; c) Herdade da Coitadinha, Barrancos, Portugal; d) Alto de Guarramillas, Madrid, Spain;  
1082 e) La Higuera, Toledo, Spain; f) El Regajal, Madrid, Spain; g) Tres Cantos, Madrid, Spain; h)  
1083 Capo Caccia, Sardinia, Italy; i) La Castanya, Spain; j) Ozone FACE (Free-Air Controlled  
1084 Exposure) facility, Florence, Italy; k) Fontblanche, Provence, France.



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**Figure 4.** The biomonitoring chain: from the source of stress to ecological impacts. Measurements closer to the source of stress (e.g. bioaccumulation of pollutants) have a stronger link to source attribution, provide an account of exposure, and can be seen as an early warning system for potential impacts. On the other hand, biological effects (biomarkers) and species-based measurements commonly have a close link to impacts on the ecosystem but can have a weaker link to source attribution. Dark frame indicates those levels and measurements most commonly considered in biomonitoring studies.

